

Rethinking research assessment: setting the scene

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EUA-CDE Annual Meeting Barcelona, 27th June 2024

Research assessment, is there a real issue?





A "papercentric" assessment

THE EVOLUTION OF ACADEMIA

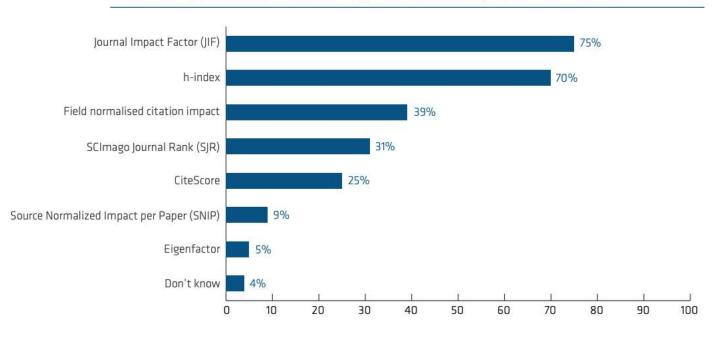




Inappropriate uses of indicators

Figure 11 – Publication metrics used for research careers

Based on survey question 8a, multiple-choice (cf. Annex 1). Number of respondents: 185/186



¹⁹ Databases such as Web of Science, Scopus, Google Scholar, etc. were not offered as answer options in favour of actual publication and citation metrics.

Source: EUA 2019 Open Science and Access Survey on Research Assessment



The "streetlight effect" of some indicators

Multiple types of space:

Geographical (eg, South)

Cognitive (eg, SSH)

Linguistic (eg, non-English)

Sectoral (eg, Low-tech)

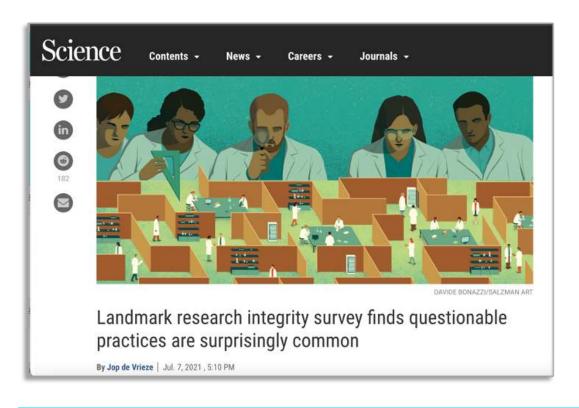
Social (eg, Gender)

Research not well captured by indicators

Research well illuminated by indicators



Some negative effects





"The team found that pressure to publish was most strongly correlated with questionable research behavior, and that perceptions of the chance of being caught by peer reviewers was the biggest factor in inhibiting misconduct."

"The survey found **PhD students had the hardest time** meeting the standards of responsible research. Some 53% of them admitted to frequently engaging in one of the 11 questionable research behaviors within the past 3 years, compared to 49% of associate and full professors."

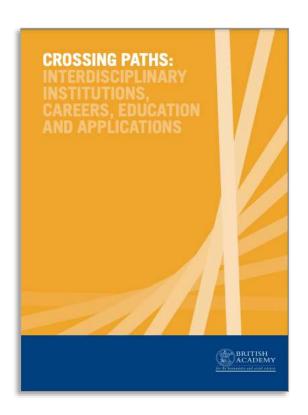


Some negative effects

Published online: 22 June 2022

Open access.

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Article Women are credited less in science than men Introduction or 1/0.1038/441586-022-04966-w Received: 25 February 2021 Accepted: 10 June 2022 Marticle Marticle II. Ross', Britta M. Gleenor 1-2, Raviv Murciano-Goroff*, Envico G. Berkes*, Bruce A. Weinberg** & Julia I. Lane**

There is a well-documented gap between the observed number of works produced by women and by men in science, with clear consequences for the retention and promotion of women. The gap might be a result of productivity differences23, or it might be owing to women's contributions not being acknowledged⁶⁷. Here we find that at least part of this gap is the result of unacknowledged contributions: women in research teams are significantly less likely than men to be credited with authorship. The findings are consistent across three very different sources of data. Analysis of the first source-large-scale administrative data on research teams, team scientific output and attribution of credit -- show that women are significantly less likely to be named on a given article or patent produced by their team relative to their male peers. The gender gap in attribution is present across most scientific fields and almost all career stages. The second source - an extensive survey of authors - similarly shows that women's scientific contributions are systematically less likely to be recognized. The third source-qualitative responses-suggests that the reason that women are less likely to be credited is because their work is often not known, is not appreciated or is ignored. At least some of the observed gender gap in scientific output may be owing not to differences in scientific contribution, but rather to differences in attribution.

Towards a European reform of research (and academic) assessment





Previous initiatives



The Leiden Manifesto for research metrics

Use these ten prenciples to guide research evaluation, urge Diana Hicks, Paul Wouters and colleagues.

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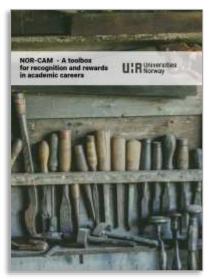
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Mar 2021
EC OS Unit
1st meeting
with
stakeholders

Core commitments

- Recognise the diversity of contributions to, and careers in, research in accordance with the needs and nature of the research
- Base research assessment primarily on qualitative evaluation for which peer review is central, supported by responsible use of quantitative indicators
- Abandon inappropriate uses in research assessment of journal- and publication based metrics, in particular inappropriate uses of Journal Impact Factor (JIF) and h-index
- Avoid the use of rankings of research organisations in research assessment

PROPOSED APPROACH



A European initiative should aim to facilitate and speeding up changes to research

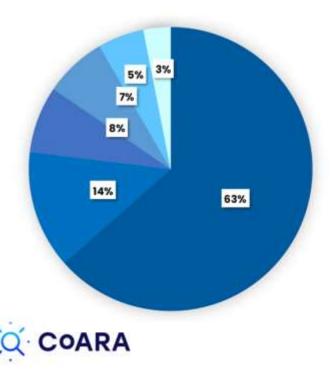
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ADVANCE TOWARDS THE REFORM THE ASSESSMENT SYSTEM FOR RESEARCH,
RESEARCHERS AND INSTITUTIONS TO IMPROVE THEIR QUALITY, PERFORMANCE
AND IMPACT

types of (potential) impacts, whereas open science practices should be stimulated by rewarding open collaboration, knowledge sharing and involvement of societal actors.



CoARA members nowadays



In descending order of total share:

Universities and their associations

 Research centres, research infrastructures, and their associations

- Academies, learned societies, and their associations, and associations of researchers
- Public or private research funding organisations and their associations
- Other relevant non-for-profit organisations involved with research assessment, and their associations National/regional authorities or agencies that implement some form of research assessment and their associations

646 member organisations

735 signatories

[11 June 2024]



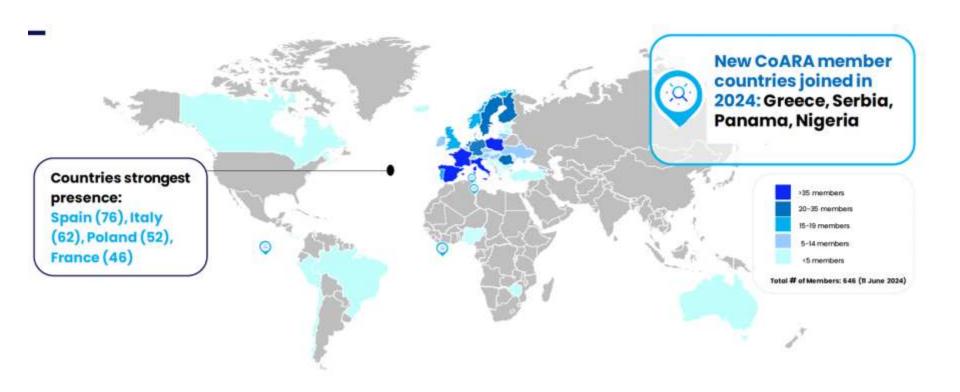
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Source: CoARA General Assembly, 12 June 2024



A global initiative rooted in Europe

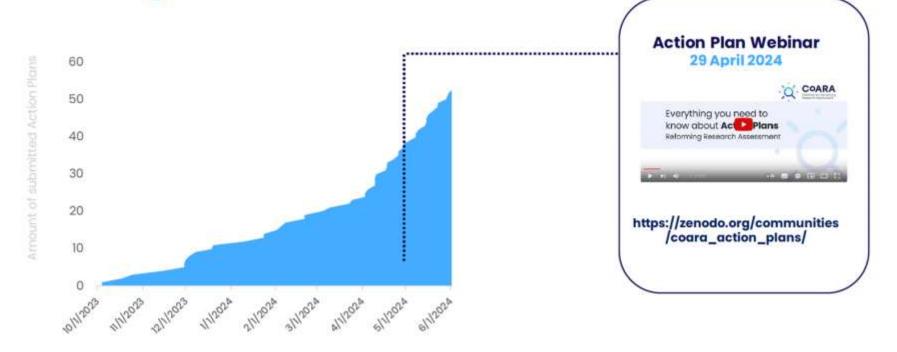


Source: CoARA General Assembly, 12 June 2024



CoARA implies a call for action

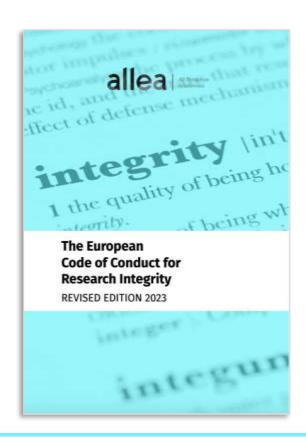
Growing collection of Action Plans



Source: CoARA General Assembly, 12 June 2024



First effects...



2. Good Research Practices

2.8 Reviewing and Assessment

 Researchers, research institutions, and organisations adopt assessment practices that are based on principles of quality, knowledge advancement, and impact that go beyond quantitative indictors and take into account diversity, inclusiveness, openness, and collaboration where relevant.



Building knowledge and practices together



Working Group:
Early-and-midCareer Researchers
(EMCRs) Assessment and
Research Culture

WG Objectives

Objective 1: Gather information and exchange experiences, collect good and best practices – and bad practices to avoid – from a range of different countries and organisations with different levels of implementation of the reform of research assessment and diverse types of institutions as well as different institutional autonomy levels about the impacts of different assessment procedures/methods on EMCRs career paths and the cultures of research systems they are active in.

Objective 2: Develop pilot actions to:

- (i) monitor the outcomes and impacts,
- (ii) support EMCRs during the change through training and consultation,
- (iii) instruct assessors of careers towards novel research assessment practices, and
- (iv) implement an inclusive and positive culture (change)

Objective 3: Based on the gathered insights, develop guidelines, models and a toolbox for implementation, which will include monitoring templates, training recommendations, guidelines for EMCR assessment, and methodologies to drive an inclusive research culture change.

Gràcies Gracias Thank you

