2019 EUA WORKSHOP ON RESEARCH ASSESSMENT IN THE TRANSITION TO OPEN SCIENCE

Research Assessment for Researchers’ Recruitment and Career Progression

Draft programme as of 30 April 2019.

EUA is organising a series of workshops on research assessment in the transition to Open Science. The 2019 workshop will focus on “Research Assessment for Researchers’ Recruitment and Career Progression”. This one-day event will take place on 14 May 2019 in the University Foundation in Brussels, Belgium.

Researchers, universities and other research performing organisations, research funders and policymakers are revisiting their approaches to research assessment. Increasingly concerned by the current state of play and its negative effects on academia, they are starting to engage in discussions about more accurate, transparent and responsible approaches to research assessment.

As part of our commitment in the “EUA Roadmap on Research Assessment in the Transition to Open Science”, the series of workshops will raise awareness and foster discussion on issues related to research assessment in the transition to Open Science. The 2019 edition will focus on research evaluation for the purpose of researchers’ recruitment and career progression.

Participants will have the opportunity to meet and discuss innovative practices, as well as reflect on a concerted approach to revisiting research assessment. The event will be of interest to institutional leaders (rectors, vice-rectors and heads of administration), research department and library staff and researchers, as well as representatives of other stakeholders revisiting their approach to research assessment.

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Why revisit research assessment?
The welcome address will set the stage for the workshop by highlighting researchers’ recruitment and career progression as a key issue related to research assessment in the transition to Open Science.

- Jean-Pierre Finance, Chair of the EUA Expert Group Science 2.0/Open Science and former President of the University of Lorraine, France

Revisiting research assessment approaches
The first keynote address will discuss current approaches to research assessment, their effects on academia and survey the search for more accurate, transparent and responsible approaches to the recruitment and career progression of researchers.

- Stephen Curry, Chair of the San Francisco Declaration on Research Assessment (DORA) Steering Committee

Incentives and rewards for researchers in the transition to Open Science
Revisiting research assessment offers an opportunity to rethink the pursuit of research, education, and scholarship. The second keynote address will discuss career incentives and rewards for researchers to engage in Open Science.

- Sabina Leonelli, Expert in the Mutual Learning Exercise: Open Science: Altmetrics and Rewards

University perspective on revisiting research assessment
Universities are well-placed to explore innovative approaches to research assessment and tailor them to their needs. The third keynote address will present Ghent University’s newly adopted evaluation model for professorial staff and give an insight into the process leading to this reform.

- Rik Van de Walle, Rector of Ghent University, Belgium

Chair: Bernard Rentier, Chair of the EUA Expert Subgroup Research Assessment, Vice-President of the Belgian Federal Council for Science Policy (FRWB - CFPS) and former Rector of the University of Liège, Belgium

Coffee break
Plenary session

Responsible rewards and incentives for research careers

The second plenary session will take a systemic look at the rewards given to researchers for past achievements and the incentives offered for their future careers, as well as the procedures and metrics used to assess their work.

- **Paul Wouters**, Chair of the European Commission Expert Group on Indicators and Director of the Centre for Science and Technology Studies, Leiden University, Netherlands
- **Marc Schiltz**, President of Science Europe and Secretary General of the Luxembourg National Research Fund
- **Eva Méndez Rodríguez**, Chair of the Open Science Policy Platform (OSPP) and Deputy Vice-President for Scientific Policy and Open Science of Charles III University of Madrid, Spain

*Chair: Pastora Martínez Samper, Vice-President for Globalization and Cooperation of the Open University of Catalonia, Spain*

Lunch

Plenary session

New and innovative approaches to research assessment

The third plenary session will present new and innovative approaches to research assessment for researchers’ recruitment and career progression, taking a practical look at the benefits and challenges of revisiting research evaluation.

- **Ranking and Head-Hunter Plans: Research assessment through scientific outputs**
  The Vice-Chancellor’s Office for Research of the International University of La Rioja has an annual budget which it dedicates to the allocation of material and resources to research. Most of each professor’s dedication to research task is materialized in the resolution of an annual call to full time staff: Ranking and Head-Hunter Plans. Both only value the research outputs and productivity, so performance is not taken account.
  - **Tamara Antona Jimeno**, Assistant Vice-Chancellor of the International University of La Rioja, Spain
  - Co-authors: **Francisco Segado Boj** and **Pedro Hipola**, International University of La Rioja, Spain

- **The Open University of Catalonia and DORA: Reflections on how to put into practice a declaration of intentions**
  The Open University of Catalonia (UOC) signed the DORA Declaration as a key action of its Open Knowledge Plan. To put this declaration into practice, a report on necessary changes to be implemented was
commissioned. This presentation the main findings of this report and engage in discussion on shared experiences and challenges.

- **We Need to Talk: Why improving inter-actor communication may be crucial to advance research assessments**
  A multi-actor qualitative research of the Flemish research system documents problems with current research assessments and suggest that the lack of communication between research actors may lead to inaction, distrust, and frustration, and may paralyse the changes needed to improve research assessments.

- **Developing Responsible Impact Indices for Open Science**
  This presentation will introduce key building blocks for designing a Responsible Impact Indicator for Open Science by allowing researchers to have significant influence on how their impact is represented and communicated. Moving beyond simplistic notions of bibliometric and economic impact, the presentation outlines a new approach to assessing Open Science activities and their consequences for society.

- **Strategically Funding Excellence under Open Science Principles: The María de Maeztu DTIC-UPF Strategic Research Program**
  The María de Maeztu DTIC-UPF strategic research program has launched since 2016 several initiatives to accelerate the transition to sustainable research aligned with open science principles. It has included research, innovation and education projects linking the strategic excellence orientation of the department to openness

- **The Openness Profile: An infrastructure approach to recognizing and rewarding contributions to Open Scholarship**
  This presentation addresses the (mis)alignment between policy and practice of open science. In response to this misalignment, we introduce
the Openness Profile concept as a resource for both researchers and evaluators.

- **Lorna Wildgaard**, Data Management Specialist at the Royal Danish Library, University of Copenhagen, Denmark
- Co-authors: **Clifford Tatum**, CWTS, Leiden University, Netherlands and **Josefine Nordling**, CSC – IT Center for Science, Finland

### The Role of Research Libraries in the Transition to Open Science: The case of scholarly metrics

This presentation will present the work of LIBER, the Association of European Research Libraries, in the field of innovative scholarly metrics. The "Scholarly Metrics Recommendations for Research Libraries" will be the prime focus, framed by the other relevant efforts that the LIBER Working Group on Innovative Scholarly Metrics is undertaking.

- **Sarah Coombs**, Saxion, Netherlands
- Co-authors: **Giannis Tsakonas**, University of Patras, Greece, **Isabella Peters**, ZBW Leibniz Information Centre for Economics, Germany and **Charlotte Wien**, University of Southern Denmark

*Chair: Norbert Lossau, EUA Representative in the Open Science Policy Platform (OSPP) and Vice-President of the University of Göttingen, Germany*

15:00 – 15:30  *Coffee break*

15:30 – 17:00  *Panel discussion*

**A concerted approach to research assessment**

Revisiting research assessment procedures is a shared responsibility and requires a concerted approach uniting major actors. This panel will discuss how researchers, universities and other research performing organisations, research funders and policymakers can work together on rethinking the rewards given to researchers for their past achievements and the incentives that shape their future careers.

- **Jean-David Malo**, Director of Open Innovation and Open Science, Directorate-General for Research and Innovation, European Commission
- **Norbert Lossau**, EUA Representative in the Open Science Policy Platform (OSPP) and Vice-President of the University of Göttingen, Germany
- **John-Arne Rottingen**, Chief Executive of the Research Council of Norway
- **Véronique Halloin**, Secretary General of the Fonds de la Recherche Scientifique (FNRS), Belgium
- Catriona MacCallum, Policy Advisor for the Open Access Scholarly Publishers Association (OASPA)
- Eva Méndez Rodríguez, Chair of the Open Science Policy Platform (OSPP) and Deputy Vice-President for Scientific Policy and Open Science of Charles III University of Madrid, Spain

Chair: Lidia Borrell-Damián, Director of Research and Innovation, EUA

17:00

Concluding remarks

- Bernard Rentier, Chair of the EUA Expert Subgroup Research Assessment, Vice-President of the Belgian Federal Council for Science Policy (FRWB - CFPS) and former Rector of the University of Liège, Belgium