

Science and policy for the energy and climate transition

**Keynote Address to the 1st Energy & Environment Clustering
Event on “Energy, environment and us: Circular economy
and the role of citizens,” Durham University, UK, September
23-24 2019**

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Professor of Business & Social Sciences

Director of the Sussex Energy Group

Director of the Center for Energy Technologies

Defining energy transitions

- What is an energy transition?
 - Change in fuel supply?
 - Shift in technologies that exploit fuel, e.g. prime movers and use devices?
 - Switch from an economic or regulatory system (e.g. Cuba)?
 - Time taken for socio-technical diffusion?
 - At what scale?

Table 1
Five definitions of energy transitions.

Definition	Source
A change in fuels (e.g., from wood to coal or coal to oil) and their associated technologies (e.g., from steam engines to internal combustion engines)	Hirsh and Jones [22]
Shifts in the fuel source for energy production and the technologies used to exploit that fuel	Miller et al. [23]
A particularly significant set of changes to the patterns of energy use in a society, potentially affecting resources, carriers, converters, and services	O'Connor [24]
The switch from an economic system dependent on one or a series of energy sources and technologies to another	Fouquet and Pearson [25]
The time that elapses between the introduction of a new primary energy source, or prime mover, and its rise to claiming a substantial share of the overall market	Smil [26]

Source: Sovacool, BK. "How Long Will it Take? Conceptualizing the Temporal Dynamics of Energy Transitions," *Energy Research & Social Science* 13 (March, 2016), pp. 202-215.

Conceptualizing energy transitions

- Grubler and Wilson:
Experimentation and learning, unit scaling, industry scaling, standardization, market saturation (from core to periphery)

Table 2

The differences in timing and speed of energy transitions in Europe.

Phase-out traditional renewables phase-in coal:		Diffusion midpoint	Diffusion speed
Core	England	1736	160
	Rim		
	Germany	1857	102
	France	1870	107
	Netherlands	1873	105
Periphery	Spain	1919	111
	Sweden	1922	96
	Italy	1919	98
	Portugal	1949	135
Phase-out coal phase-in oil/gas/electricity:			
Core	Portugal	1966	47
	Italy	1960	65
	Sweden	1963	67
Rim	Spain	1975	69
	Netherlands	1962	62
	France	1972	65
Periphery	Germany	1984	50
	England	1979	67

Source: Sovacool, BK. "How Long Will it Take? Conceptualizing the Temporal Dynamics of Energy Transitions," *Energy Research & Social Science* 13 (March, 2016), pp. 202-215.

Conceptualizing energy transitions

Original Article

Reconfiguration, Contestation, and Decline: Conceptualizing Mature Large Technical Systems

Benjamin K. Sovacool^{1,2}, Katherine Lovell²,
and Marie Blanche Ting²

Science, Technology, & Human Values

1-32

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- Thomas Hughes and the emergence of electricity networks:
 - System = seamless web of economic, educational, legal, administrative, and technical elements
 - Momentum = mass and velocity, path dependence
- Phases: Invention and development, technology transfer, growth, momentum, and style

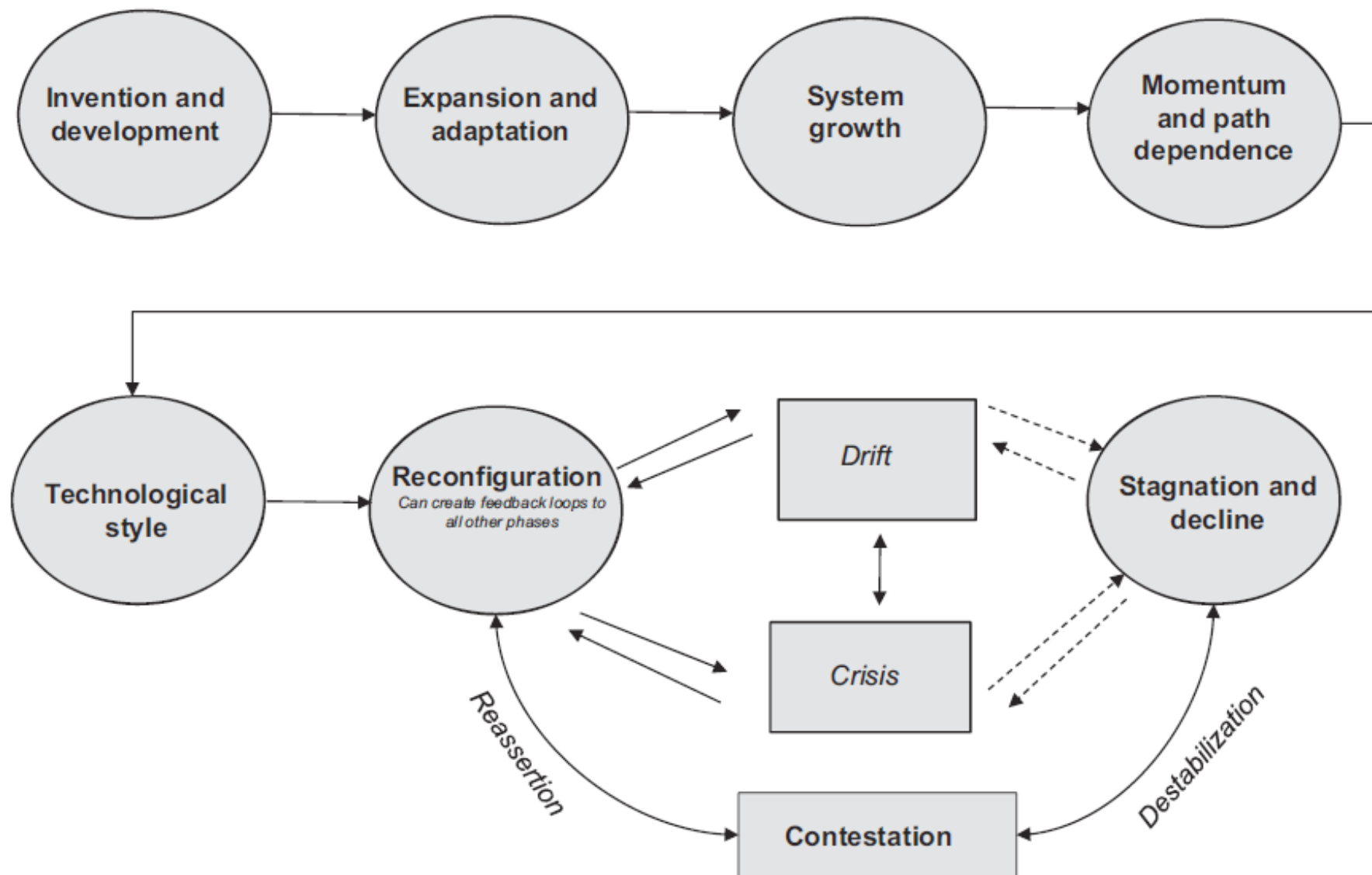


Figure 2. Eight conceptual phases of large technical systems. Source: Authors.

Conceptualizing energy transitions

Table 2. Phases, Mechanisms, and Empirical Cases for Reconfiguration, Contestation, and Decline.

Phase/Description	Mechanism(s)	Case(s)
<i>Reconfiguration:</i> system adapts to challenges; control over system is mostly stable	Interconnection and crosslinking	Railways, electricity grids, and telecommunications networks
	Selectivity	Electricity grids, telecommunications networks, and gas pipelines
	Repositioning	Sewer systems, ocean freight and marine transport, land transport, industrial manufacturing, and natural gas systems
<i>Contestation:</i> system is in limbo; control over system is challenged	Drift	South African electricity, shale gas in Eastern Europe, and telecommunications in the United States and United Kingdom
	Crisis	American flood control, British railways
<i>Stagnation and decline:</i> system growth declines or erodes; quality of service or volume deteriorates; control over system is lost	Substitution and transformation	French railways, electric streetcars (trolleys) in the United States, and coal in the United Kingdom

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Original Article

Ordering theories: Typologies and conceptual frameworks for sociotechnical change

Social Studies of Science

1–48

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Department of Sociology, Vanderbilt University, Nashville, TN, USA

Theories of socio-technical transitions: the long-list

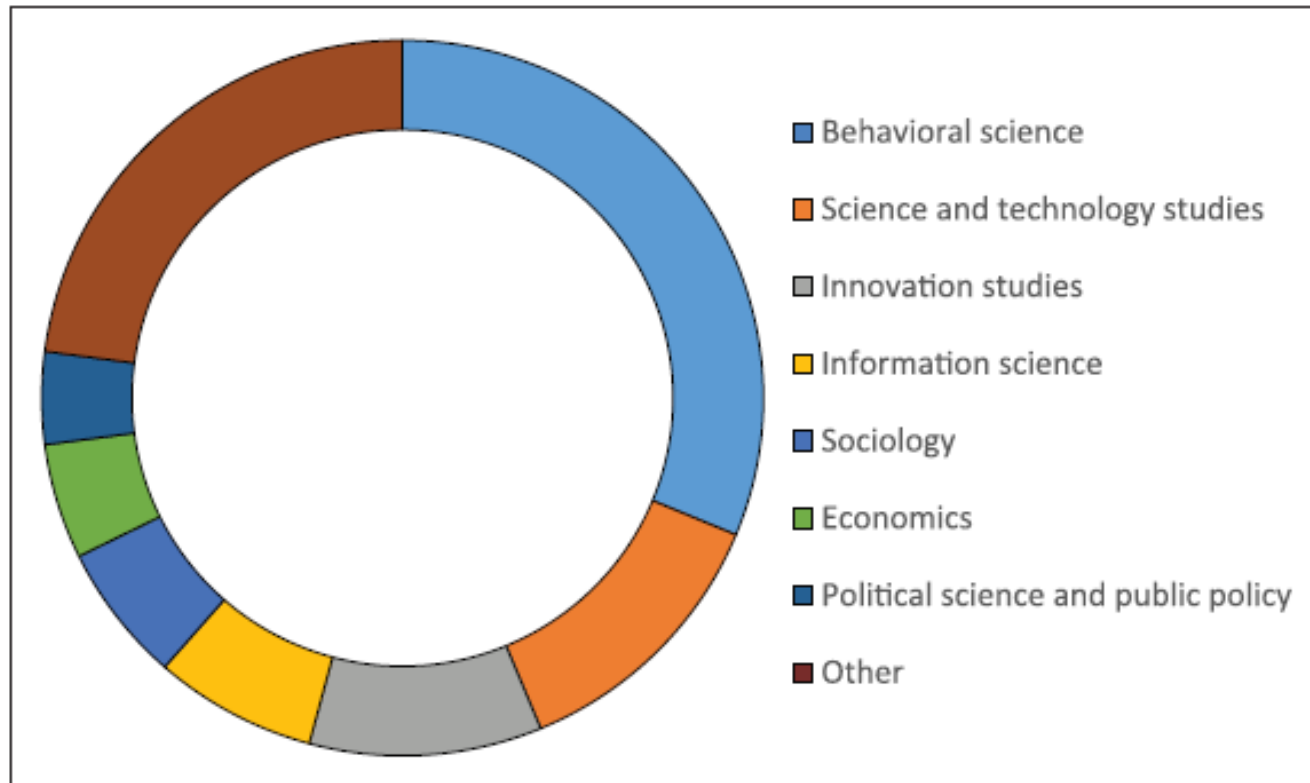


Figure 2. Academic discipline for selected theories (n = 96). 'Other' disciplines include history, organization studies, political ecology and geography, transport studies, business studies, communication studies, conflict resolution, consumption studies, development studies, energy studies, ethics and moral studies, legal studies and jurisprudence, linguistics and semiotics, marketing, and mathematics.

Ordering theories: the long-list

No.	Discipline	Name	Key author(s)	Application to sociotechnical diffusion and acceptance
1	Behavioral science	Attitude-Behavior-Context (ABC) Theory	Paul C. Stern, Stuart Oskamp	A kind of field theory for behavior intended to be environmentally sustainable, inclusive of accepting environmentally friendly technologies. Behavior (B) is an interactive product of 'internal' attitudinal variables (A) and 'external' contextual factors (C).
2	Behavioral science	Attribution Theory	Kelvin Lancaster, F. Heider	Attempts to explain why ordinary people explain events as they do, including the adoption of new technology, and it suggests that the two most influential factors are internal attribution to characteristics of the individual or external attribution to a situation or event outside of personal control
3	Behavioral science	Comprehensive Technology Acceptance Framework	N.M.A. Huijts, Linda Steg	Proposes a complex model of technological diffusion predicated on experience and knowledge which are then mediated by trust, issues of procedural and distributive fairness, social norms, attitudes, and perceived behavioral control
4	Behavioral science	Cognitive Dissonance Theory	Leon Festinger	Argues that people in general are motivated to avoid internally inconsistent (dissonant) beliefs, attitudes and values, including when they adopt new technologies or practices

Ordering theories: the short-list

Table 1. Most frequently mentioned theoretical approaches (respondents = 35).

No.	Name	Frequency mentioned by respondents (n)	Frequency mentioned (%)
1	Sociotechnical Transitions	15	43
2	Social Practice Theory	14	40
3	Discourse Theory	10	29
4	Domestication Theory	9	26
5	Large Technical Systems	9	26
6	Social Construction of Technology	9	26
7	Sociotechnical Imaginaries	7	20
8	Actor-Network Theory	7	20
9	Social Justice Theory	7	20
10	Sociology of Expectations	6	17
11	Sustainable Development	6	17
12	Values Beliefs Norms Theory	5	14
13	Lifestyle Theory	4	11
14	Universal Theory of Acceptance and Use of Technology	4	11

Temporality and energy transitions

- “Energy transitions have been, and will continue to be, inherently prolonged affairs, particularly so in large nations whose high levels of per capita energy use and whose massive and expensive infrastructures make it impossible to greatly accelerate their progress even if we were to resort to some highly effective interventions ...”

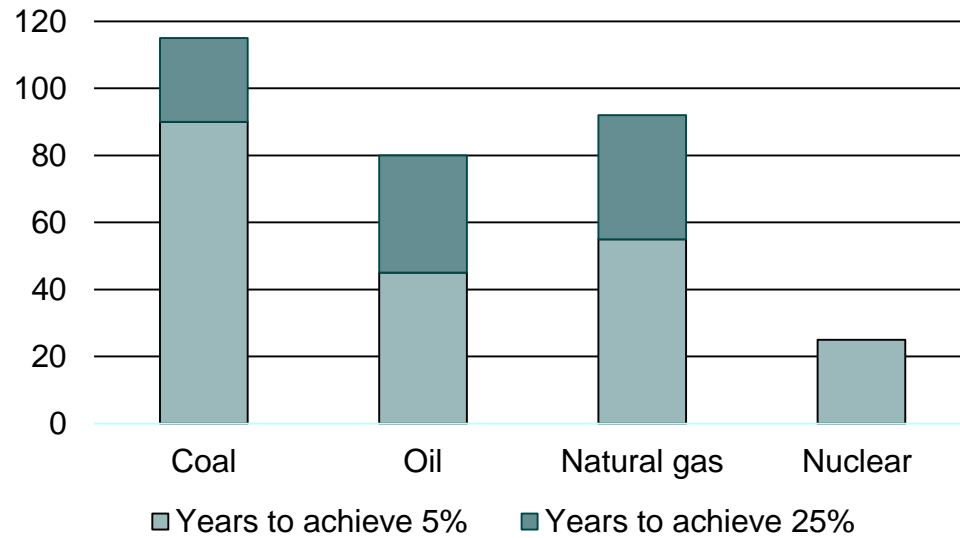
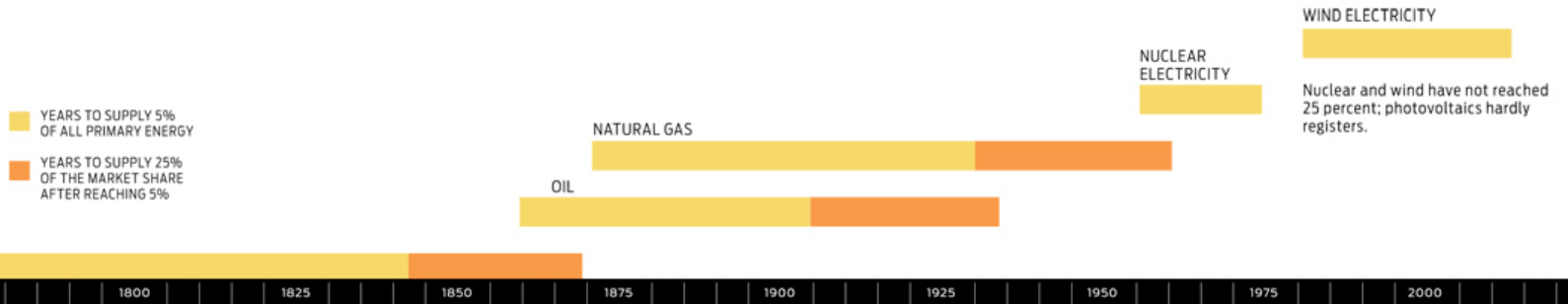
Table 2

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Source: Sovacool, BK. “How Long Will it Take? Conceptualizing the Temporal Dynamics of Energy Transitions,” *Energy Research & Social Science* 13 (March, 2016), pp. 202-215.

Temporality and energy transitions



Temporality and energy transitions

Energy Research & Social Science 22 (2016) 18–25



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Short communication

Apples, oranges, and consistent comparisons of the temporal dynamics of energy transitions

Arnulf Grubler^{a,b,*}, Charlie Wilson^{a,c}, Gregory Nemet^d



Temporality and energy transitions

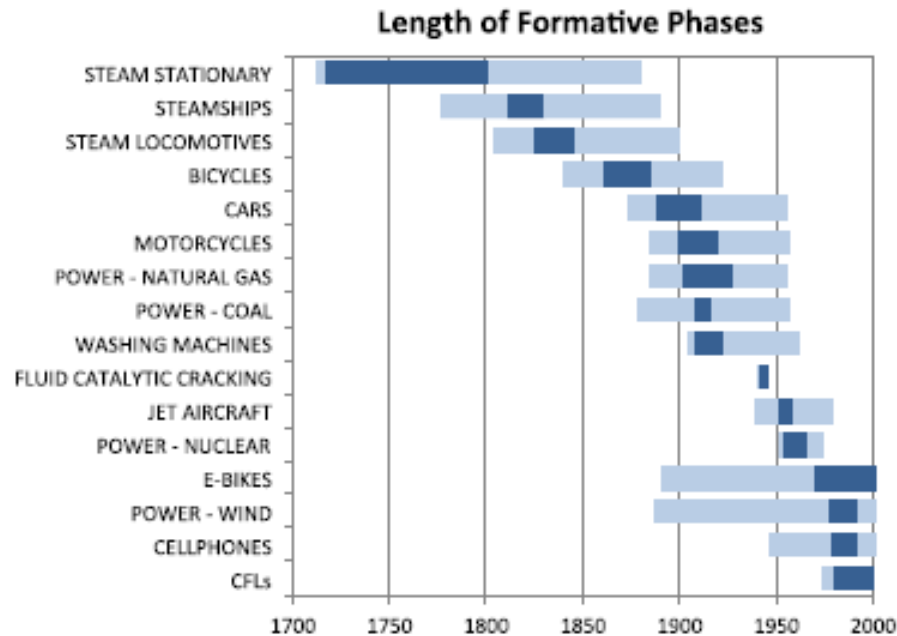


Fig. 1. Durations of formative phases for energy technologies are at a decadal scale [4]. Note: Ranges refer to alternative definitions for the start and end points of formative phases, and so capture measurement uncertainties.

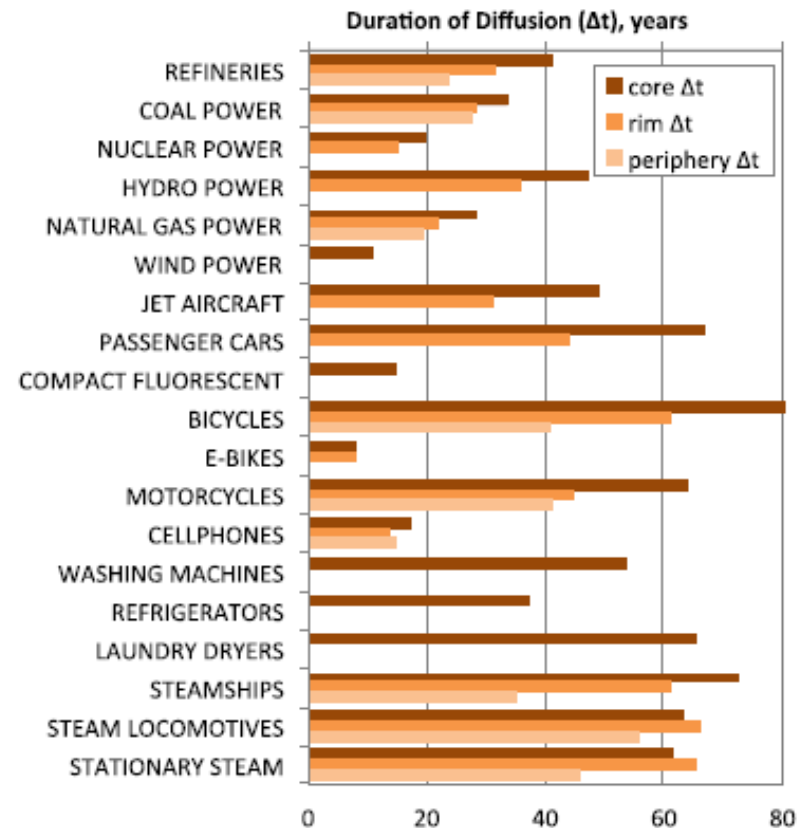
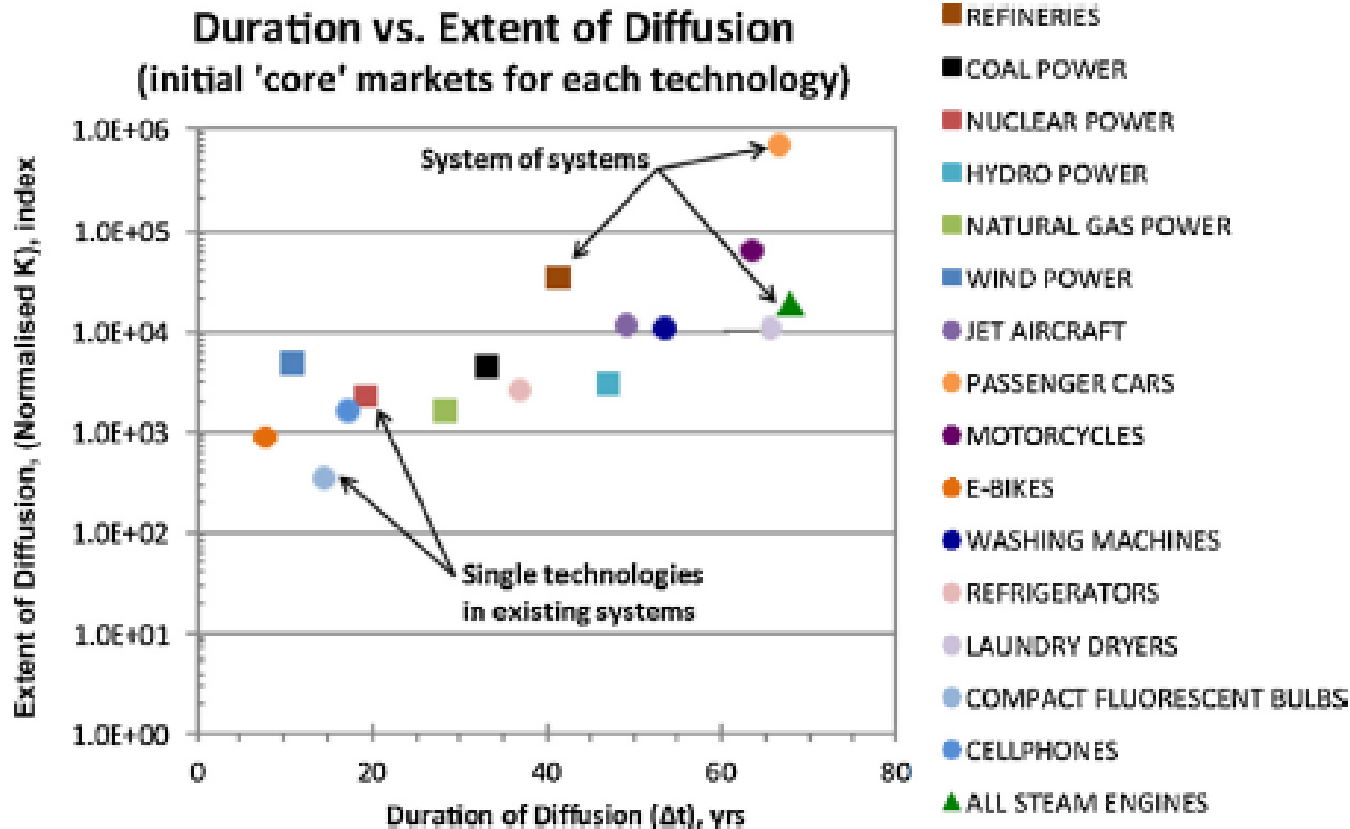


Fig. 2. Diffusion speeds accelerate as technologies diffuse spatially. Notes: Bars show durations of diffusion measured by cumulative total capacity installed, with historical data fitted via a logistic growth curve and the diffusion duration expressed as Δt in years. 'Core' is typically within the OECD; 'Rim' is typically Asian countries; 'Periphery' is typically other world regions. For details and data, see: [42,3].

Temporality and energy transitions



Diffusion durations scale with market size. Notes: X-axis shows duration of diffusion (t) measured in time to grow from 10% to 90% of cumulative total capacity; y-axis shows extent of diffusion normalized for growth in system size. All data are for 'core' innovator markets. Round symbols denote end-use technologies; square technologies denote energy supply technologies; triangular symbol denotes general purpose technologies (steam engines). Arrows show illustrative examples of system of systems (refineries describing the rise of multiple oil uses across all sectors, cars describing the concurrent growth of passenger cars, roads, and suburbs, and steam engines are a proxy of the growth of all coal-related technologies in the 19th century). Arrows also highlight examples of single technologies diffusing into existing systems substituting existing technologies (nuclear power, compact fluorescent light bulbs).

Temporality and energy transitions

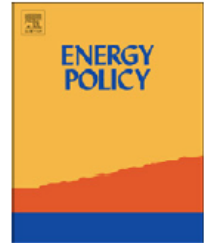


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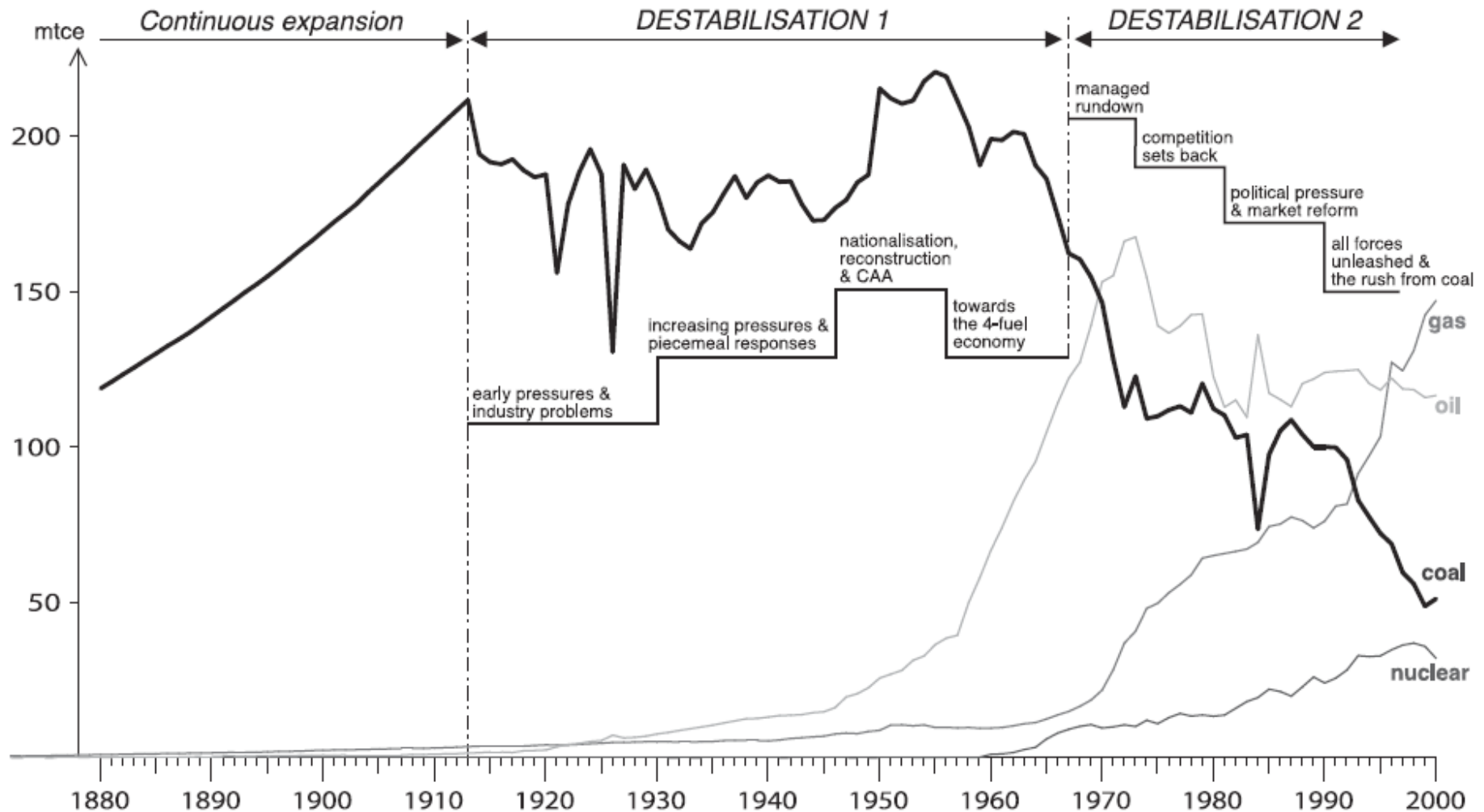
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Regime destabilisation as the flipside of energy transitions: Lessons from the history of the British coal industry (1913–1997)

Bruno Turnheim*, Frank W. Geels

Temporality and energy transitions



Some peculiarities

- *Diffusion thresholds*: what % constitutes a transition (5%, 10%, 25%, 50%)?
- *Co-evolution*: one isolated technology or the seamless web (e.g. mimicry plus rail and telegraph, oil and roads)?

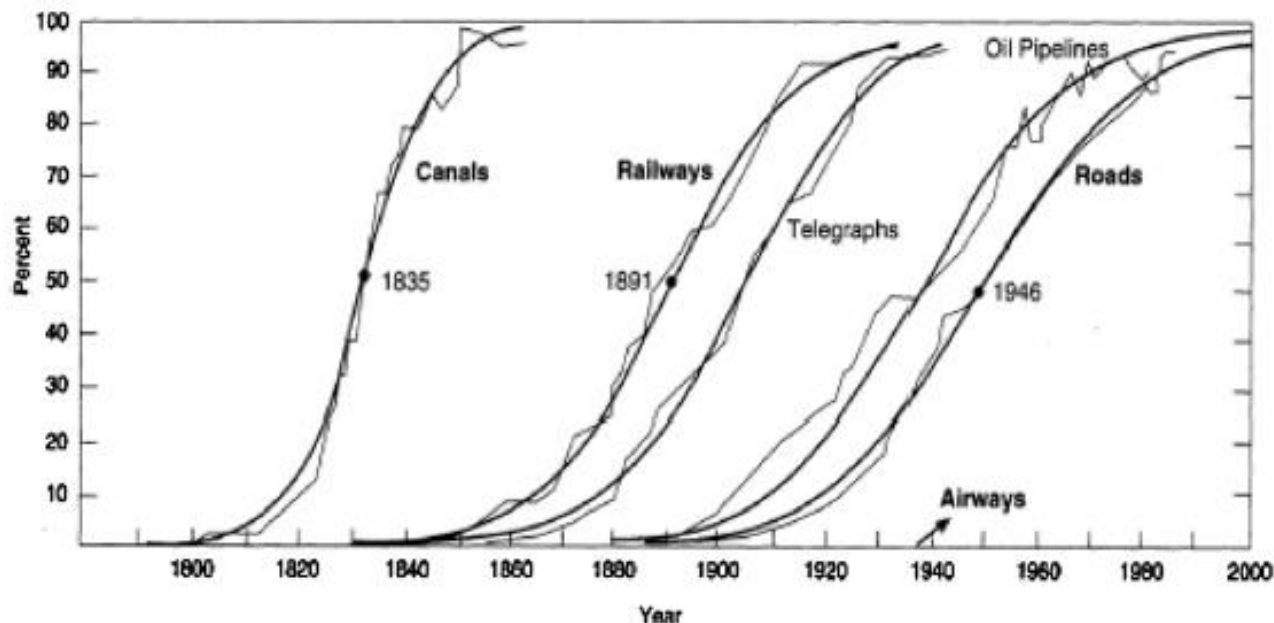


Fig. 1. Growth of Infrastructures in the United States as a Percentage of their Maximum Network Size.

- *Unit of analysis*: big oil or smaller changes in ICEs, steam engines on ships, oil lamps, oil heating boilers and furnaces?

Rethinking transitions: towards acceleration?

- We have seen at least five fast transitions in terms of energy end-use and prime movers
- Examples of many rapid national-scale transitions in energy supply also populate the historical record

Table 4
Overview of rapid energy transitions.

Country	Technology/fuel	Market or sector	Period of transition	Number of years from 1 to 25% market share	Approximate size (population affected in millions of people)
Sweden	Energy-efficient ballasts	Commercial buildings	1991–2000	7	2.3
China	Improved cookstoves	Rural households	1983–1998	8	592
Indonesia	Liquefied petroleum gas stoves	Urban and rural households	2007–2010	3	216
Brazil	Flex-fuel vehicles	New automobile sales	2004–2009	1	2
United States	Air conditioning	Urban and rural households	1947–1970	16	52.8
Kuwait	Crude oil and electricity	National energy supply	1946–1955	2	0.28
Netherlands	Natural gas	National energy supply	1959–1971	10	11.5
France	Nuclear electricity	Electricity	1974–1982	11	72.8
Denmark	Combined heat and power	Electricity and heating	1976–1981	3	5.1
Canada (Ontario) ^a	Coal	Electricity	2003–2014	11	13

^a The Ontario case study is the inverse, showing how quickly a province went from 25% coal supply to zero.

Rethinking transitions: towards acceleration?

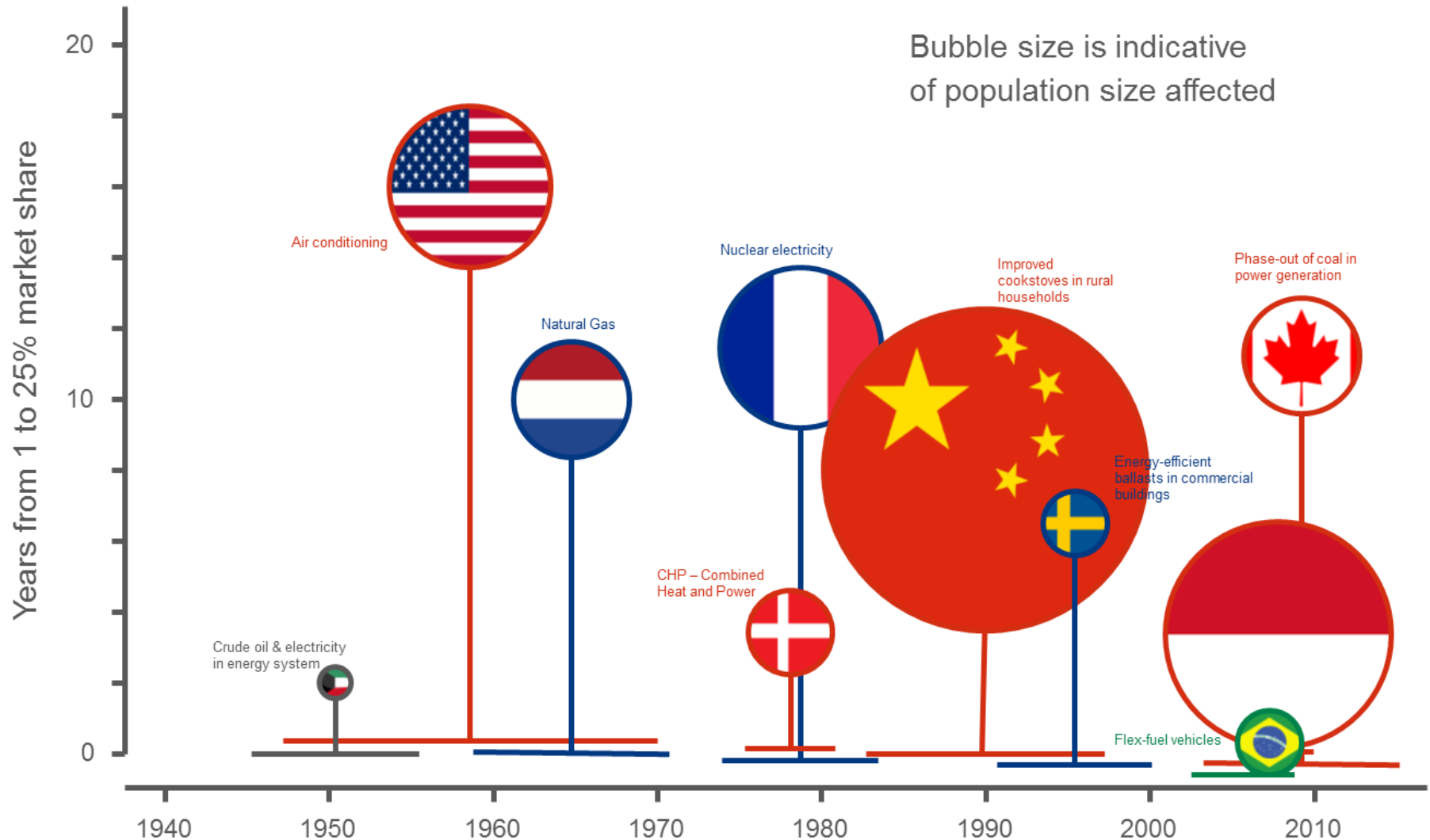


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Rethinking transitions: towards acceleration?

Energy Research & Social Science 22 (2016) 13–17



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Short communication

The pace of governed energy transitions: Agency, international dynamics and the global Paris agreement accelerating decarbonisation processes?



Florian Kern^{a,*}, Karoline S. Rogge^{a,b}

- Historic energy transitions have not been consciously governed, whereas today a wide variety of actors is engaged in active attempts to govern the transition towards low carbon energy systems
- International innovation dynamics can work in favor of speeding up the global low-carbon transition.
- The 2015 Paris agreement demonstrates a global commitment to move towards a low carbon economy for the first time

Rethinking transitions: towards acceleration?

Accelerating low-carbon innovation: the role for phase-out policies

Policy Briefing 05

March 2017

Phase-out policies include:

- **Control policies** that reduce emissions from specific technologies or sectors.
- **Changing market rules** that address decarbonisation of a whole market, sector or system.
- **Reduced support** (such as tax breaks or subsidies) for high-carbon technologies.
- **Policies to ensure a balanced debate** that considers both new entrants and incumbents (such as the creation of new committees or networks).

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About this briefing:

This briefing is based on work carried out on behalf of the Centre on Innovation and Energy Demand (CIED), an RCUK-funded End Use Energy Demand Centre. Contact: CIED@sussex.ac.uk

Rethinking transitions

Nordic Energy Technology Perspectives 2016

Cities, flexibility and pathways to carbon-neutrality



norden

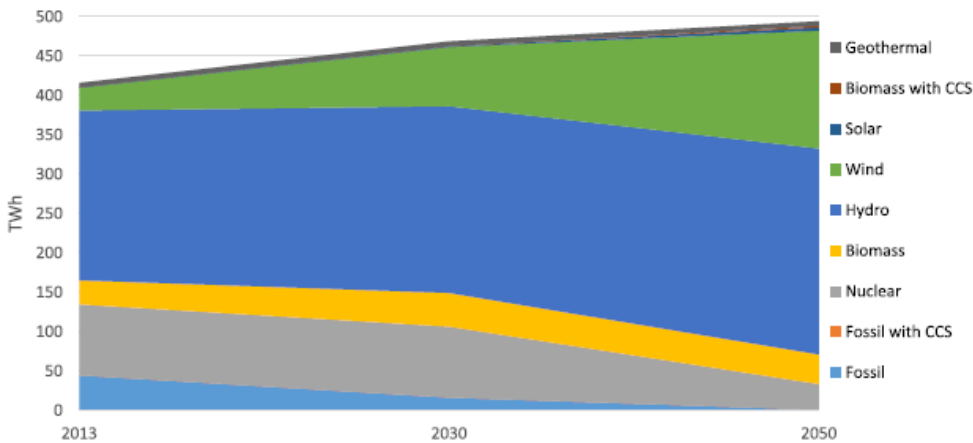
Nordic Energy Research
Nordic Council of Ministers



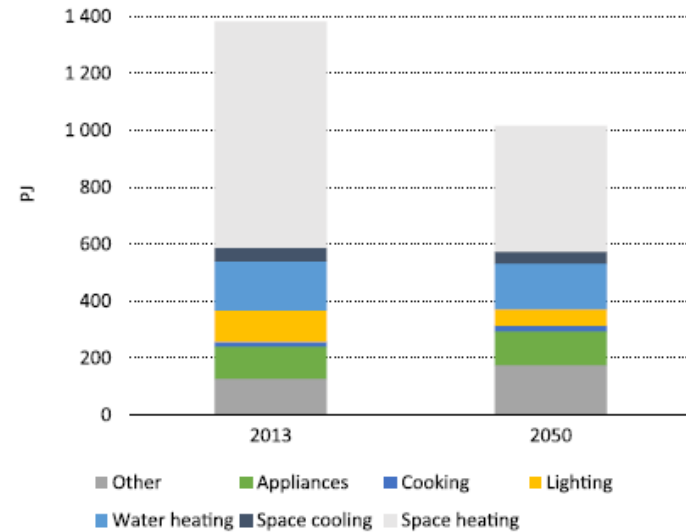
**International
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Secure
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Together

Rethinking transitions: electricity, heat, and buildings

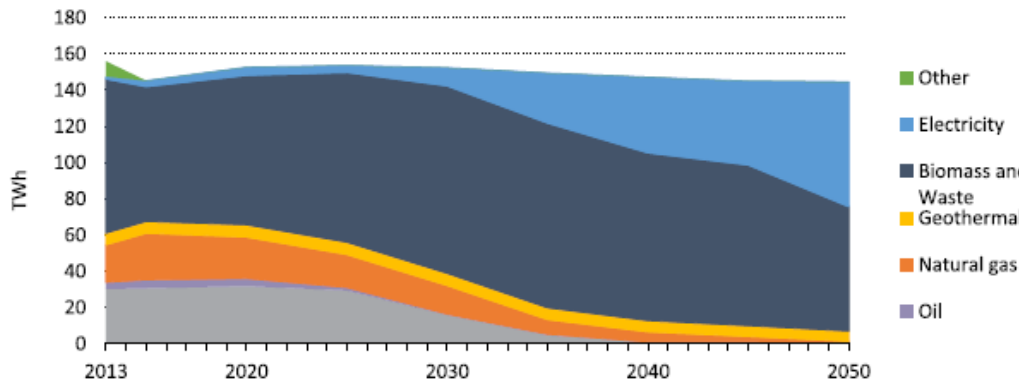
a. Top panel: Electricity generation



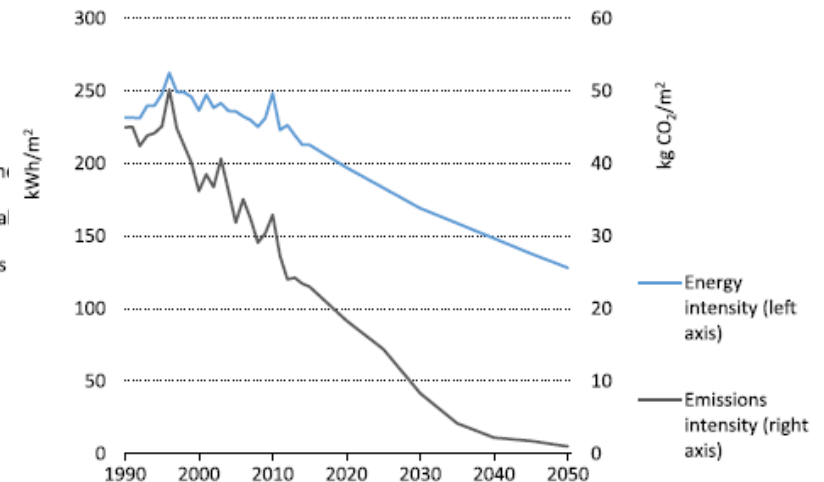
a. Top panel: Buildings energy consumption, 2013 and 2050



b. Bottom panel: heat supply

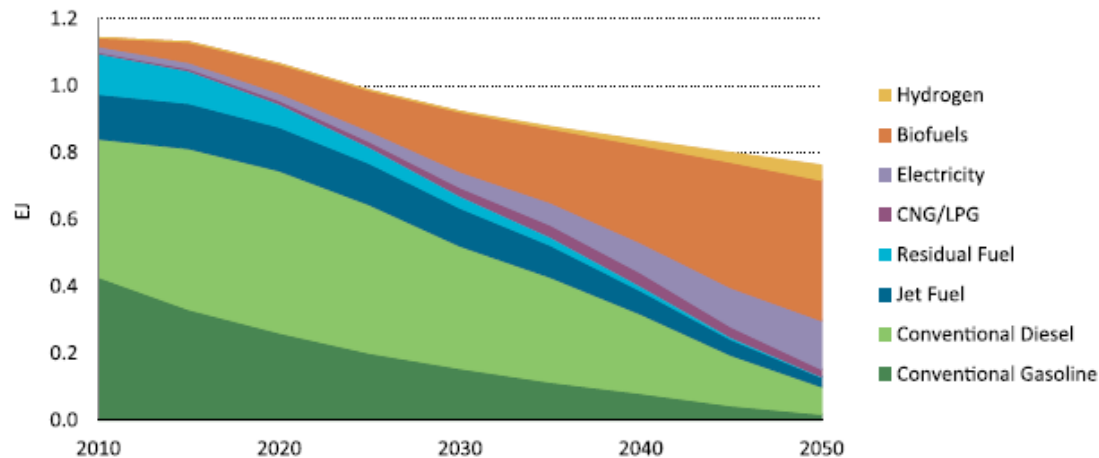


b. Bottom panel: Energy intensity and emission intensity, 1990 to 2050

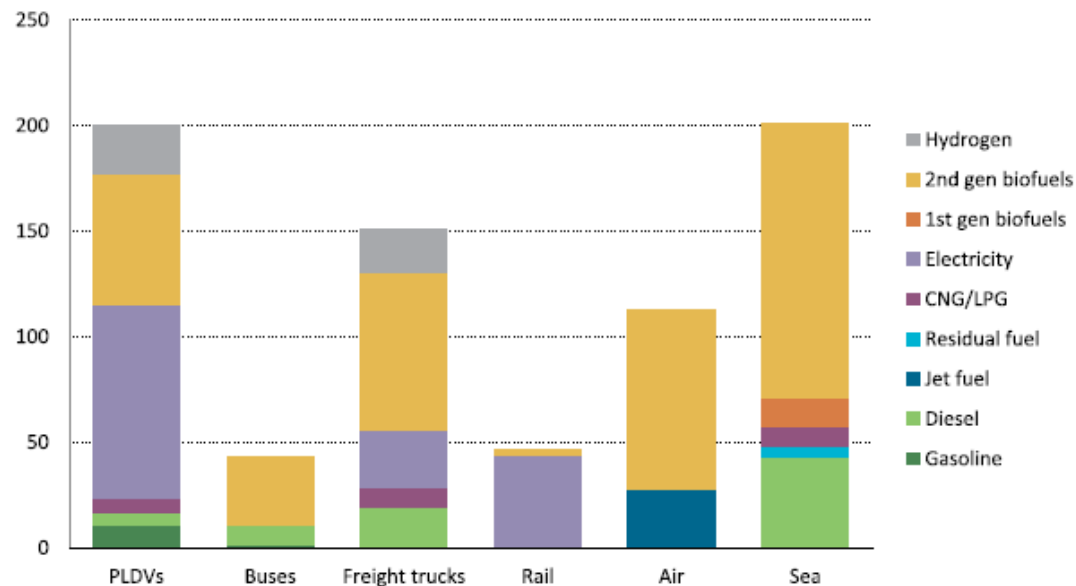


Rethinking transitions: transport and mobility

a. Top panel: by fuel source, 2010-2050



b. Bottom panel: by transportation mode, 2050



Rethinking transitions: affordability

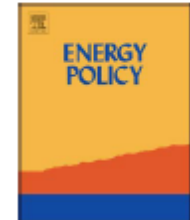
Energy Policy 102 (2017) 569–582



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Contestation, contingency, and justice in the Nordic low-carbon energy transition



Benjamin K. Sovacool^{a,b,*}

Table 3

Cumulative Nordic Investments for Decarbonization by Sector, 2016–2050.

Source: Modified from International Energy Agency and Nordic Energy Research, Nordic Energy Technology Perspectives 2016 (Paris: OECD, 2016). Assumes the Carbon Neutral Scenario.

Sector	\$ (USD Billion)
Energy-related investments in buildings	326
Industry	103
Transport: vehicles	1,674
Transport: infrastructure	1,121
Power: generation	197
Power: infrastructure	151
Total	3,572

- The total cost of the Nordic transition is roughly \$3.57 trillion
- It requires an additional investment of only \$333 billion
- This is less than 1% of cumulative GDP over the period
- If you monetize air pollution and fuel savings, it tips the economic equation firmly in favour of the transition

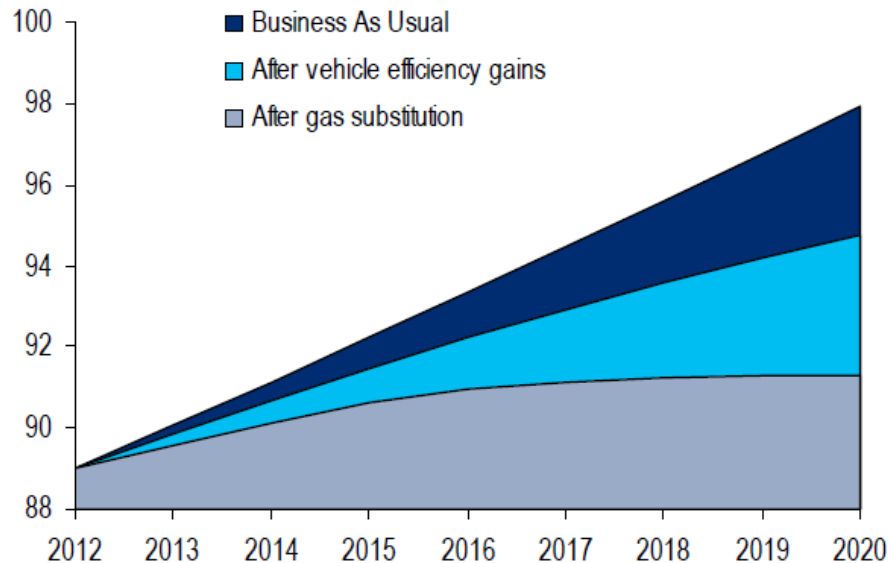
Rethinking transitions: Changes in demand preferences, demand “peaks?”

Global Oil Demand Growth – The End Is Nigh
26 March 2013

Citi Research

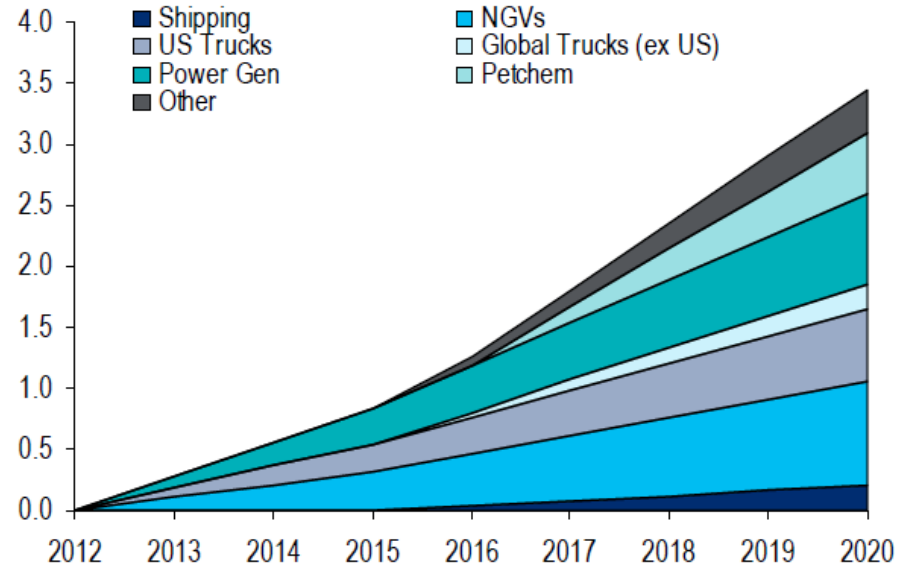
Global Oil Demand Growth – The End Is Nigh

Figure 1. Global Oil Demand Projections:-mb/d



Source: Citi Research

Figure 2. Potential Natural Gas Substitution For Oil:-mb/d

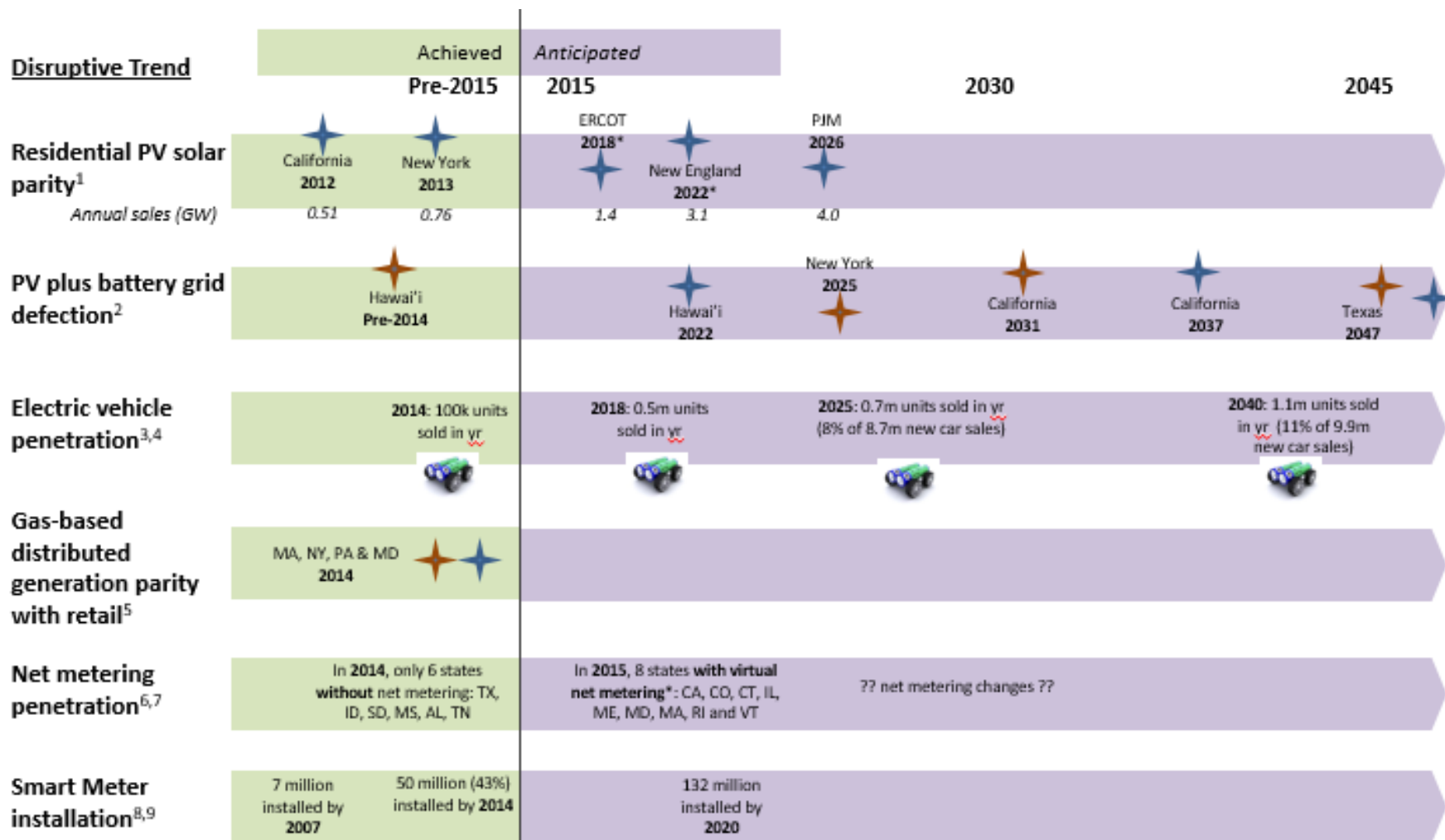


Source: Citi Research

Rethinking transitions: incumbency








The energy transition is already happening?



¹ Bloomberg New Energy Finance; ² EPRI; ³ UBS; ⁴ U.S. Energy Information Administration; ⁵ GDF SUEZ; ⁶ Renewable Energy World.com; ⁷ Seia.org; ⁸ IIE; ⁹ Telefonica

* Enables multiple homeowners to participate in the same metering system and share the output from a single facility that is not physically connected to their property or meter

Shifts in business models and value creation alongside technology

Trends pushing down the cost of solar, other renewables and energy efficiency		Examples
 Increasing technical innovation		<ul style="list-style-type: none">• New battery chemistries• New solar PV technologies
 Synergistic solutions increasing the value of renewables		<ul style="list-style-type: none">• Solar PV + battery storage• IT and storage for peak shaving
 Data and internet of things increasing integration		<ul style="list-style-type: none">• Sensors• Predictive software• Demand response automation
 Innovative business models increasing customer bases		<ul style="list-style-type: none">• Analytics and prediction• Market assessment• Value beyond energy
 Innovative financing reducing cost of capital		<ul style="list-style-type: none">• Third-party financing• Green bonds• YieldCos



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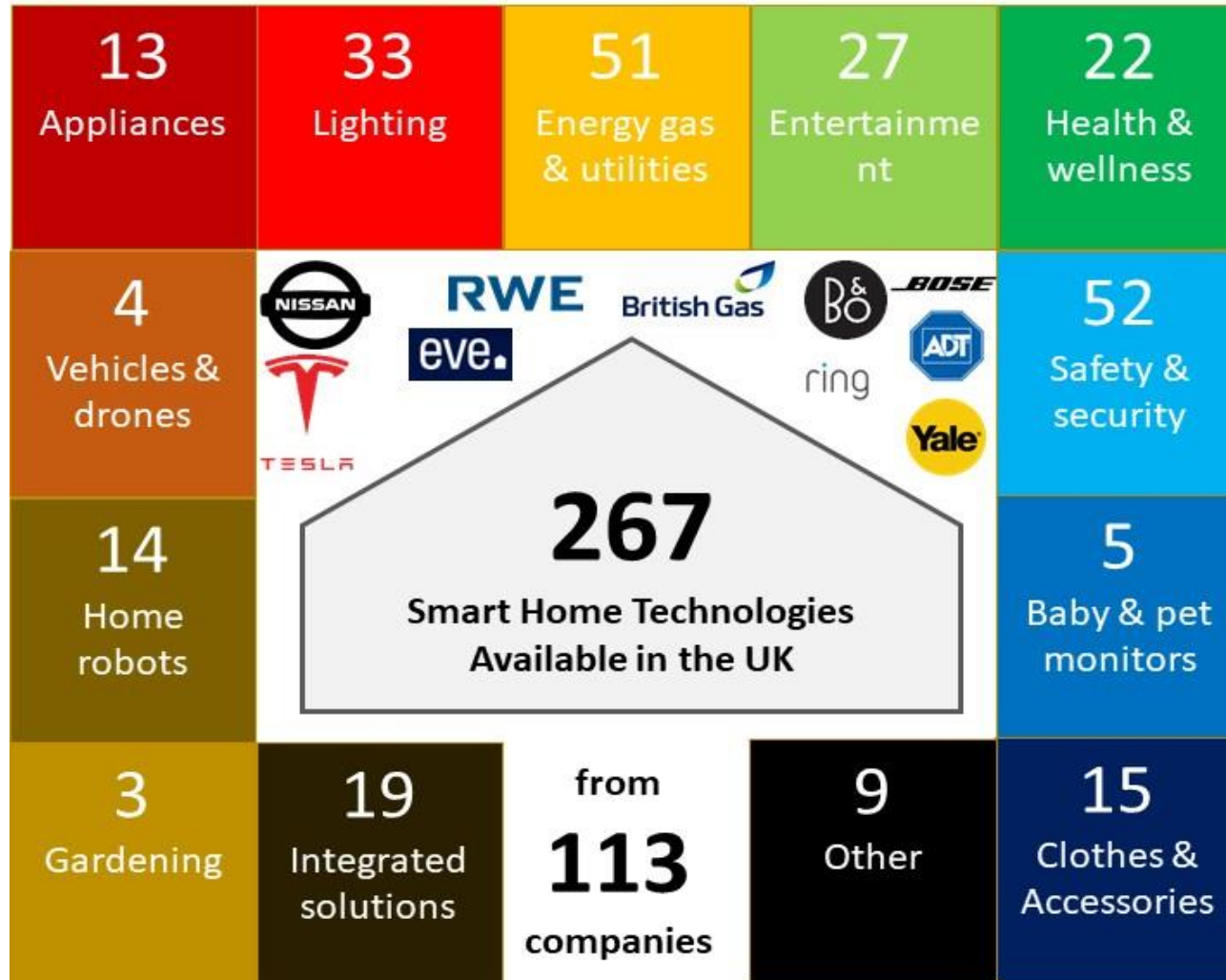
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1		X											
2						X							
3	X	X	X	X	X	X	X		X			X	
4		X	X	X		X	X					X	
5	X	X	X	X	X	X	X					X	
6		X	X	X	X	X	X			X		X	
7		X	X										
8						X							
9						X							
10	X	X	X	X	X	X	X					X	
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12				X								X	X
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14				X	X					X			
15	X	X	X	X		X	X					X	
16						X	X					X	X
17				X			X						
18		X	X	X	X	X	X	X	X		X	X	X
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20						X	X					X	X
21		X	X	X	X	X	X	X	X			X	X
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23						X							
24		X	X	X		X	X					X	
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27						X	X					X	X
28		X	X	X		X	X					X	
29		X	X	X		X	X					X	
30		X	X	X	X	X	X	X	X		X	X	X
31				X			X					X	
32		X	X	X	X	X	X		X	X		X	
33		X		X		X	X					X	
34							X					X	
35		X	X	X	X	X	X	X	X		X	X	X
36						X							
37						X							



Decarbonisation as an interdisciplinary challenge (policy mixes)

comment

Towards demand-side solutions for mitigating climate change

Research on climate change mitigation tends to focus on supply-side technology solutions. A better understanding of demand-side solutions is missing. We propose a transdisciplinary approach to identify demand-side climate solutions, investigate their mitigation potential, detail policy measures and assess their implications for well-being.

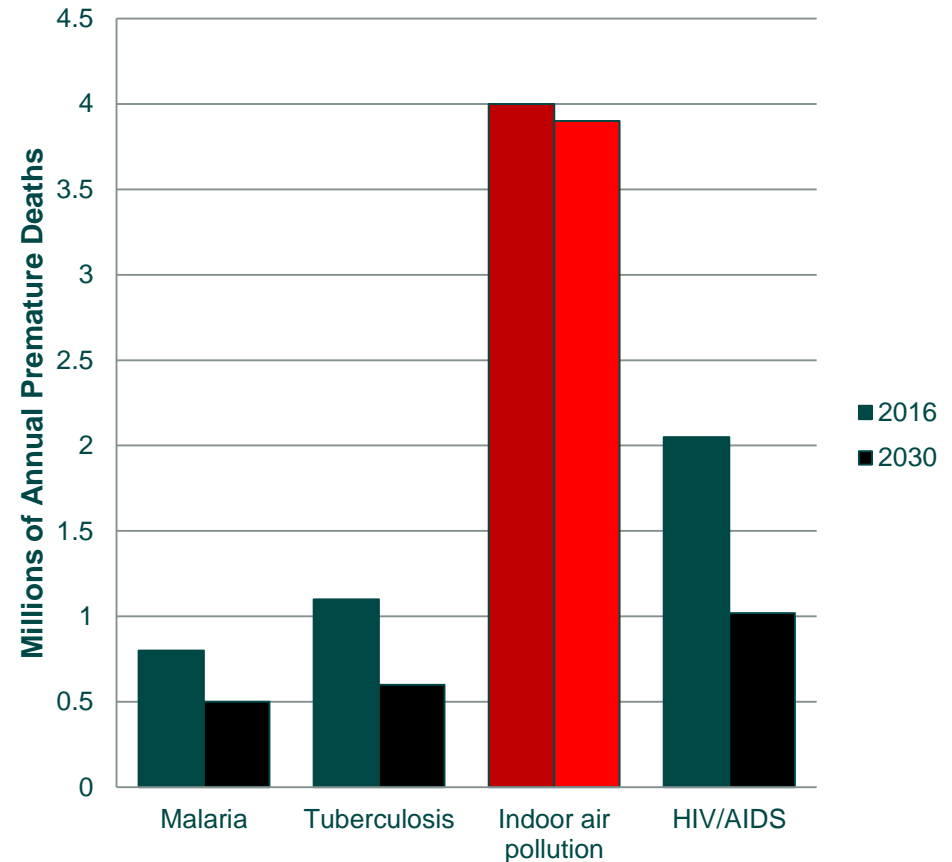
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Table 1 | Illustrative 'avoid-shift-improve' options in different sectors and services

	Service	Avoid	Shift	Improve
Transport	Accessibility/Mobility	Integrate transport and land-use planning Smart logistics Teleworking Compact cities	Mode shift from car to cycling, walking, or public transit	Electric two-, three- and four-wheelers Eco-driving Electric vehicles Smaller, light weight vehicles
Buildings	Shelter	Passive house or retrofit (avoiding demand for heating/cooling) Change temperature set-points	Heat pumps, district heating and cooling Combined heat and power Inverter air conditioning	Condensing boilers Incremental insulation options Energy-efficient appliances
Manufactured products and services	Clothing/Appliances	Long-lasting fabric, appliances, sharing economy Eco-industrial parks, circular economy	Shift to recycled materials, low-carbon materials for buildings and infrastructure	Use of low-carbon fabrics New manufacturing processes and equipment use
Food	Nutrition	Calories in line with daily needs Food waste reduction	Shift from ruminant meat to other protein sources where appropriate	Reuse food waste Smaller, efficient fridges Healthy fresh food to replace processed food

Many options, such as urban form and infrastructures, are systemic and influence several sectors simultaneously.

Decarbonisation as an interdisciplinary challenge (gender and cooking)



Decarbonisation as an interdisciplinary challenge (gender and cooking)

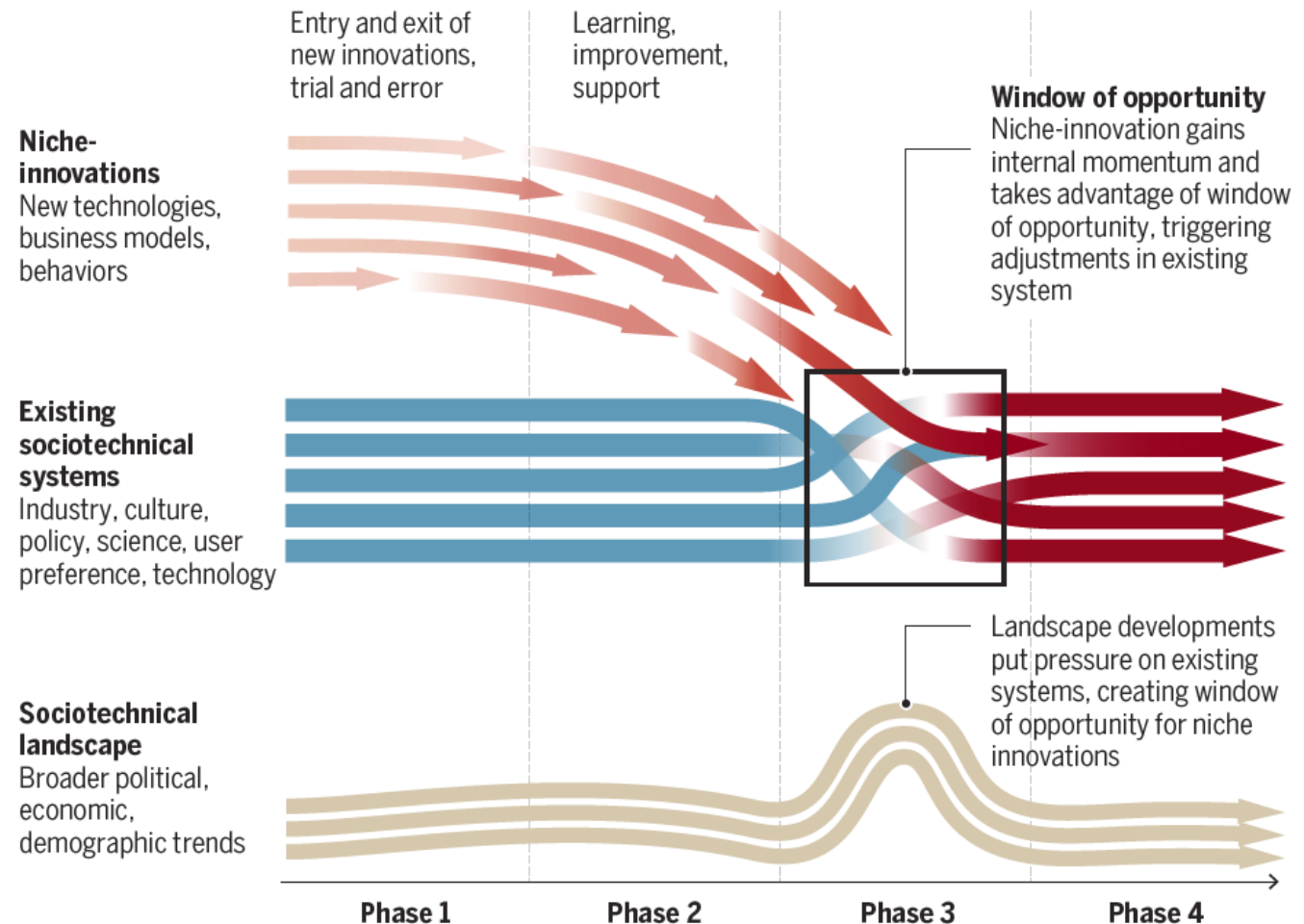
Changing the source of pollution	Improving the living environment	Modifying user behavior
<p>Improved cooking devices</p> <ul style="list-style-type: none"> • Improves stoves without flues • Improves stoves with flues <p>Alternative fuel-cooker combinations</p> <ul style="list-style-type: none"> • Briquettes and pellets • Kerosene • Liquefied petroleum gas • Biogas • Natural gas, producer gas • Solar cookers • Modern biofuels (e.g. ethanol, plant oils) • Electricity <p>Reduced need for fire</p> <ul style="list-style-type: none"> • Retained heat cooker (haybox) • Efficient housing design and construction • Solar water heating • Pressure cooker 	<p>Improved ventilation</p> <ul style="list-style-type: none"> • Smoke hoods • Eaves spaces • Windows <p>Kitchen design and placement of stove</p> <ul style="list-style-type: none"> • Kitchen separate from house reduces exposure of family (less so for cook) • Stove at waist height reduces direct exposure of the cook leaning over fire 	<p>Reduced exposure by changing cooking practices</p> <ul style="list-style-type: none"> • Fuel drying • Pot lids to conserve heat • Food preparation to reduce cooking time (e.g. soaking beans) • Good maintenance of stoves, chimneys and other appliances <p>Reduced exposure by avoiding smoke</p> <ul style="list-style-type: none"> • Keeping children away from smoke (e.g. in another room if available and safe to do so)

Decarbonisation as an interdisciplinary challenge (multi-dimensionality)

- Transitions are a multi-scalar, polycentric process
- They are co-evolutionary and also temporally dynamic

Foster innovations to take advantage of windows of opportunity

Internal and external forces pressure the existing system, which can realign around maturing innovations



Source: Geels, FW, BK Sovacool, T Schwanen, and S Sorrell. "Sociotechnical transitions for deep decarbonisation," *Science* 357 (6357) (September 22, 2017), pp. 1242-1244.

Decarbonisation as an interdisciplinary challenge (energy justice)





Micro injustices

Local pollution and waste
Community health
Property prices
Unequal household benefits
Traffic congestion
Parking
Closure of local coal mines



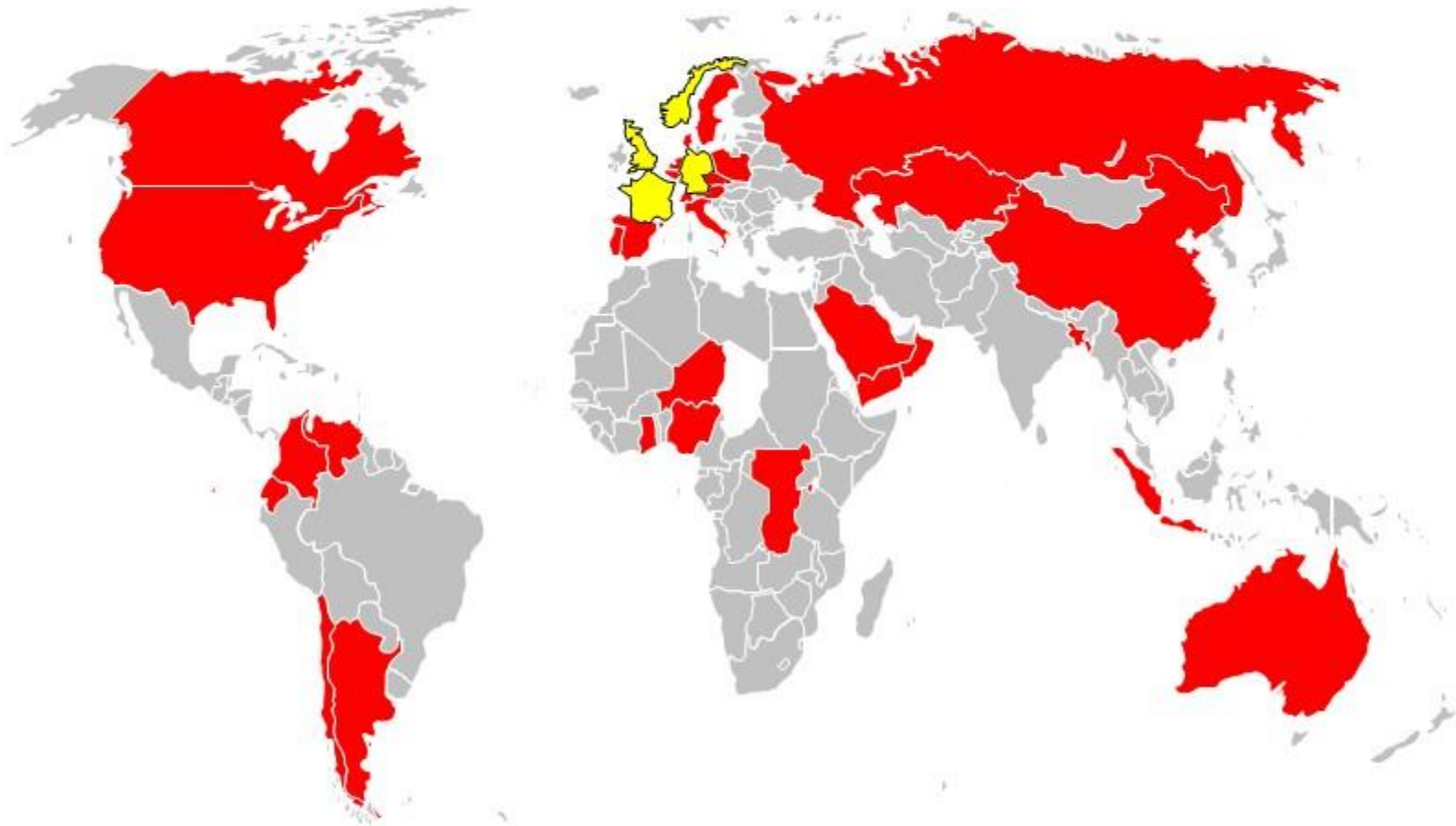
Meso injustices

Nuclear accidents
Disruption of other national transitions
Higher national energy prices
Loss of national employment
Expansion of roads
Undermining utility business models
Bankruptcy of national firms



Macro injustices

Uranium mining and waste
Unsafe nuclear exports
Metal and mineral inputs
Flows of electronic waste
Exporting of dirty cars
Poor overseas labour conditions
Disruption of fossil fuel industry
Disruption of other transitions





Decarbonisation as an interdisciplinary challenge (energy justice)



Sovacool, BK, A Hook, M Martiskainen, and LH Baker, “Decarbonisation and its discontents: A critical energy justice perspective on four low-carbon transitions,” *Climatic Change* (in press, 2019)

Sovacool, BK, A Hook, M Martiskainen, and LH Baker. “The whole systems energy injustice of four European low-carbon transitions,” *Global Environmental Change* 58 (September, 2019), 101958, pp. 1-15.

Interdisciplinary research nonetheless needs incentivized



1. *If you like it, fund it:* **public and private organizations** should give a bigger slice of funding to social scientists (\$1-35 bias, even worse for inter-d work)
2. *Collect social data:* to reduce disciplinary bias, **energy ministries, statistical agencies and public utility commissions** should focus more on energy behaviour and demand, rather than just supply, and employ focus groups, interviews, surveys, etc. to create rich, complex narratives
3. *Focus on problems, not disciplines:* **University administrators** should make energy research more problem-oriented, including social perspectives, and tweak promotion guidelines to account for trans-disciplinary approaches
4. *Include others:* **researchers** should do more to accommodate expertise and data from laypersons, indigenous groups, community leaders and other non-conventional participants, and reach across disciplines, and beyond Europe and North America
5. *Incentivize social science methods and concepts:* **journal editors** can prioritize interdisciplinary, inclusive, comparative mixed-methods research in their aims and scope

Source: Sovacool, BK, SE Ryan, PC Stern, K Janda, G Rochlin, D Spreng, MJ Pasqualetti, H Wilhite, L Lutzenhiser, "Integrating Social Science in Energy Research," *Energy Research & Social Science* 6 (March, 2015), pp. 95-99

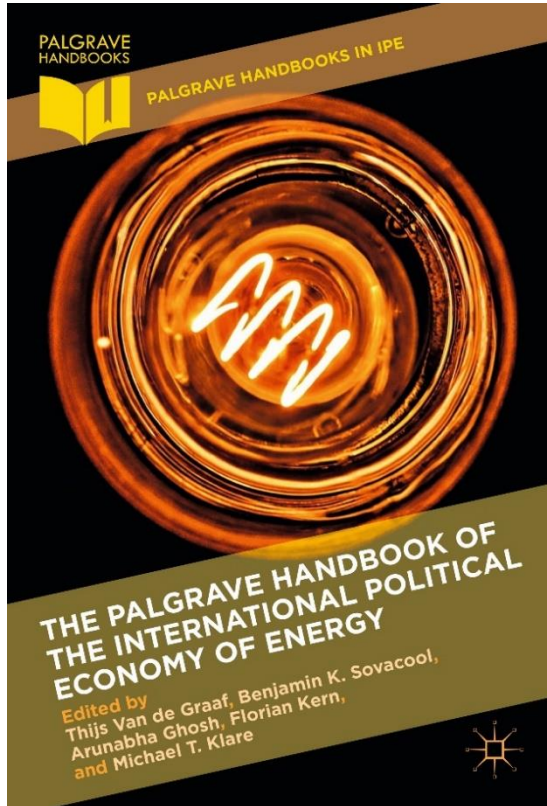
Concluding remarks and insights

- Definitions abound: Whether an energy transition can occur quickly or slowly can depend in great deal about how it is defined, so always check sources, data, assumptions, thresholds, coupling/scale/unit of analysis, etc.
- The academy has no shortage of conceptual tools grappling with transitions, but in some ways this is its own quagmire
 - ✓ Implies none or few have strong resonance with scholars or puzzles?
 - ✓ Or, reflects the true breadth of intellectual scholarship?
 - ✓ All are useful, but all are also wrong?
 - ✓ MLP and social practice appear currently “hot”

Concluding remarks and insights

- Transitions require truly interdisciplinary collaborations
 - By sector, e.g. avoid, shift, improve
 - By training/expertise, e.g. cooking and fuel science, buildings, behavior, gender studies
 - By dimension, e.g. technology but also culture, users, markets, discourses, power, etc.
 - By discipline, e.g. science and social science with the arts and humanities
- Causes are complex: WW2 (France and Kuwait), rural famine (China), 1970s oil crises (Denmark, Brazil), demand (AC in USA)
- Future transitions could be driven by active governance (phase-outs), scarcity, and demand pressures, rather than supply, markets, or abundance
- The past need not be prologue; history can be instructive but not necessarily predictive

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